

Individual Client Detail

James Madison (Jim)

Date of Birth: 6/16/1951
 Earnings: \$125,500.00
 Total Income: \$180,000.00
 Net Worth: \$1,250,000.00

Age: 57

Tax ID: 555-56-3768
 Spouse: Dolley Madison
 Spouse Birth: 4/15/1964 Age: 44
 Anniversary: 9/6/1970
 Occupation: Attorney

Address Information	Street Address	City & Type	Primary
James Madison, A Law Corporation Mr. James Madison	9567 Walnut Street, Suite 400	Business Address Port Conway, VA 14756	<input type="checkbox"/>
Mr. and Mrs. James Madison	11407 Constitution Hwy	Joint Address Montpelier Station, VA 22957	<input type="checkbox"/>
James Madison	11407 Constitution Hwy	Home Address Montpelier Station, VA 22957	<input checked="" type="checkbox"/>
The Acme Company James Madison	1766 Doliver The Acme Building	Business Address Pismo Beach, CA 93449	<input type="checkbox"/>

Telephones	Location	Contact/Comment	Primary
(212) 875-3907	Business Phone	Direct Line	<input checked="" type="checkbox"/>
(212) 875-8734	Home Phone		<input type="checkbox"/>
(212) 875-3908	Business Fax	Marketing	<input type="checkbox"/>
(212) 458-1234	Cell Phone		<input type="checkbox"/>
(212) 555-4567	Business Phone		<input type="checkbox"/>

Email Addresses	Business Name	Contact/Comment	Primary
james@madison.com		James Madison	<input checked="" type="checkbox"/>
madisonfamily@home.net	Home Email	James Madison	<input type="checkbox"/>

Web Address	Contact/Comment	Primary
www.madison.com	James Madison	<input checked="" type="checkbox"/>

Markets Or Areas Of Interest	Follow-up	Last Update	By
Disability Income Prospect		1/16/1998 7:58:04	JQA
Business Continuation Agreement		2/27/2002 3:53:33	CM
Golfer		6/27/2000 3:53:52	CM
Times Ad Starting 2/10/91		3/15/1991 9:35:45	CM
Send Investment Newsletter		4/4/2002 9:40:40	CM
IRA Prospect		2/23/2007 10:53:46	CM
Boating Enthusiast		11/15/2006 1:46:15	CM

Names Linked	Relationship
Dolley Madison	Spouse

Individual Client Detail

Names Linked	Relationship
Rick Trasport	Attorney
Joseph M Cutter	Primary Care Physician
Smith, Chudley & Bookie	Accountant
Rabobank	Bank
George Washington	Source of Referral
Samuel Q. Adams	Referral

Household Members	Household Name
Dolley Madison	Family of James Madison
James Madison	Family of James Madison
Jimmy B Madison	Family of James Madison

Households Belonged To	Head Of Household Or Group Belonged
Group for The Acme Company	The Acme Company
Group for Mid State Bank	Rabobank

Disability Insurance

Professional Series		#164598	
Primary Insured Owner	Company Effective	Benefit	Premium
James Madison	The ABC Insurance Company	\$1,300.00	\$2,070.00
James Madison	Friday, March 14, 2008	Monthly Income	\$167.50 Monthly

Long Term Care Insurance

Platinum Plan		#164598	
Primary Insured Owner	Company Effective	Benefit	Premium
James Madison	The ABC Insurance Company	\$170.00	\$1,240.12
James Madison	Friday, March 14, 2008	Daily Benefit	\$0.00 Monthly

XYZ Co. Elite Series LTC		#6328675	
Primary Insured Owner	Company Effective	Benefit	Premium
James Madison	The XYZ Insurance Company	\$200.00	\$2,850.00
James Madison	Friday, July 07, 2006		\$2,850.00 Annual

Individual Client Detail

P and C / Other Insurance

Homeowner's Plus Ultra

#884765764538

Primary Insured Owner	Company Effective	Benefit	Premium
James Madison	The XYZ Insurance Company	\$300,000.00	\$350.00
James Madison	Thursday, May 01, 2003		\$175.00 Semi-Annual

Retirement Insurance

ABC Company Variable Annuity

#27456

Primary Insured Owner	Company Effective	Benefit	Premium
James Madison	The ABC Insurance Company	\$250,000.00	\$4,800.00
James Madison	Sunday, January 05, 2003		\$400.00 Monthly

The ABC Co. SPDA 1990 Series

#342957

Primary Insured Owner	Company Effective	Benefit	Premium
James Madison	The ABC Insurance Company	\$17,500.00	\$600.00
James Madison	Monday, November 01, 1999		\$50.00 Monthly Check

Total \$11,910.12

401(k) Plan #17564-5

Investor	Acquired	Shares	Price	Value	As Of
Microsoft Common					
James Madison	10/18/2001	544.23	27.80	\$15,129.58	6/2/2008
Fairholme Fund					
James Madison	6/9/2005	214.93	33.19	\$7,133.49	6/2/2008
Fundamental Investors - A					
James Madison	12/14/2004	155.41	41.73	\$6,485.15	6/2/2008
Capital Wrld Grwth & Income A					
James Madison	12/14/2004	120.03	43.06	\$5,168.64	6/2/2008
Google					
James Madison	2/7/2006	50.00	575.00	\$28,750.00	6/2/2008
Small Cap World Fund					
James Madison	2/7/2006	255.89	38.09	\$9,746.67	6/2/2008
Intermediate Bond Fund of America					
James Madison	2/7/2006	863.07	13.22	\$11,409.85	6/2/2008
The Growth Fund of America A					
James Madison	2/7/2006	1,521.61	33.76	\$51,369.45	6/2/2008
Exxon Mobil Corp					
James Madison	2/7/2006	1,000.00	87.81	\$87,810.00	6/2/2008

Individual Client Detail

401(k) Plan #17564-5

Investor	Acquired	Shares	Price	Value	As Of
Account Daily Cash Balance James Madison	10/18/2001	0.00	1.00	\$0.00	6/2/2008
Total For Account #17564-5				\$223,002.83	

Individual Retirement Account #27456

Investor	Acquired	Shares	Price	Value	As Of
Davis New York Venture Fund Class A James Madison		3,565.32	38.69	\$137,942.41	6/2/2008
Dynatech Fund James Madison	3/10/1997	2,933.87	30.99	\$90,920.54	6/2/2008
Account Daily Cash Balance James Madison	3/10/1997	0.00	1.00	\$0.00	6/2/2008
Total For Account #27456				\$228,862.95	

Section 529 Education Fund #316352

Investor	Acquired	Shares	Price	Value	As Of
AIM Equity Constellation Fund James Madison	9/1/1994	898.64	27.57	\$24,775.37	6/2/2008
Janus Aspen Growth Institutional James Madison	12/31/1998	1,822.99	25.35	\$46,212.91	6/2/2008
Account Daily Cash Balance James Madison	9/1/1994	0.00	1.00	\$0.00	6/2/2008
Total For Account #316352				\$70,988.28	

Community Property #CMS123456

Investor	Acquired	Shares	Price	Value	As Of
ABC American Century Value James Madison	1/5/1998	791.74	7.22	\$5,716.33	6/2/2008
ABC Dodge And Cox Income Account James Madison	1/5/1998	686.25	12.54	\$8,605.62	6/2/2008
ABC Fidelity Latin America James Madison	1/5/1998	1,143.84	71.33	\$81,590.38	6/2/2008
ABC Polaris Global Value James Madison	1/5/1998	889.66	16.71	\$14,866.21	6/2/2008
Account Daily Cash Balance James Madison	1/5/1998	0.00	1.00	\$0.00	6/2/2008

Individual Client Detail

Community Property #CMS123456

Investor	Acquired	Shares	Price	Value	As Of
----------	----------	--------	-------	-------	-------

Total For Account #CMS123456				\$110,778.54	
------------------------------	--	--	--	--------------	--

Total For Investor James Madison				<u>\$633,632.60</u>	
----------------------------------	--	--	--	---------------------	--

Individual Client Detail

Notes

General Notes

Added on 2/9/1994 By V2C. Last changed on 2/9/1994 By JQA

Met James (likes to be called James) at country club. He's an avid golfer. Has a 5 handicap.

Just moved here from Pennsylvania last year.

Went to Yale.

Met his wife at a college dance and they began dating.

Jim specializes in manufacturing systems. He is very analytical.

Added on 1/23/1996 By V2C. Last changed on 1/23/1996 By JQA

Opened the discussion on investments in addition to his 401(k). He favors mutual funds. Likes the technology sector.

Mentioned that he wants Jimmy to go to Yale. Wants to start putting some money away toward that.

Just bought a personal computer. Compaq 100Mhz Pentium. Told him about Quicken.

Added on 11/6/2002 By V2C. Last changed on 11/6/2002 By JQA

06/12/1998 2:01PM (JQA)

James just found the Web. Very interested. Told him about Pointcast for tracking his funds and investments. Gave him my email address.

Added on 2/1/2000 By V2C. Last changed on 2/1/2000 By JQA

Jimmy just joined the soccer league. His team is a club team and they plan to stay together as the kids grow up. They only lost one game last season. Jimmy is starting. James says he makes up in skill what he lacks in aggressiveness. James and Dolley go to games on Saturday during the season.

Added on 10/17/2001 By V2C. Last changed on 10/17/2001 By CM

Suggested that James' new duties would have him traveling on airplanes and that he should think about reviewing his insurance. Said to call him in December. (Follow-up for 12/04/2001)

Added on 4/4/2002 By V2C. Last changed on 4/4/2002 By CM

Called Jim to set up annual appt. Couldn't do it until later this year, so will call again in Oct. (Follow-up for 10/04/2002)

Added on 9/13/2006 By CM. Last changed on 9/13/2006 By CM

Jimmy won Best Goalie for his soccer league this year.

Added on 2/27/2007 By CM. Last changed on 2/27/2007 By CM

Jim's going to Hawaii this Spring.

Added on 2/12/2008 By CM. Last changed on 2/12/2008 By CM

Called Jim to set up our annual appt. He asked me to call back next week, he's leaving on a business trip to Dallas.

Phone Log

Added on 1/16/1999 By V2C. Last changed on 1/16/1999 By JQA

Called James about his 1999 IRA. He said to call back in February. He'll be ready to do something than. (Follow-up for 02/01/1999)

Added on 9/25/2001 By V2C. Last changed on 9/25/2001 By CM

09/25/2001 3:26PM (CM)

SYS: 10006 By CM On 6/18/2008

Individual Client Detail

Notes

Phone Log

Added on 9/25/2001 By V2C. Last changed on 9/25/2001 By CM

Phone call placed to James Madison (Direct Line)

Number Dialed: (212) 875-3907

)

Called James to set up an annual review. He said he can't schedule anything until Oct., and to call back then.(Follow-up for 10/15/2001)

Added on 4/4/2002 By V2C. Last changed on 4/4/2002 By CM

Phone call placed to James Madison (Direct Line)

Number Dialed: (212) 875-3907

)

Letter Log

Added on 4/4/1999 By V2C. Last changed on 4/4/1999 By CM

Email was sent by PAD

To: James Madison

Subject: Your Disability Policy

Email Body:

April 4, 1999

James Madison
Jim@climark.com

Dear James,

Just a quick note to say that your Disability Income (Individual) with The ABC Insurance Company was approved. Your policy number is 76453.

I'll contact you soon to drop it off.

Regards,
John Adams

Added on 6/11/2001 By V2C. Last changed on 6/11/2001 By CM

06/11/2001 3:28PM (CM)

Added on 6/11/2001 By V2C. Last changed on 6/11/2001 By CM

A Letter Was Sent (CM)

Document Name Was Birthday Letter

Signed By CM

Summary:

Added on 2/3/2002 By V2C. Last changed on 2/3/2002 By CM

Email was sent by CM

To: James Madison

Subject: Policy Review

Email Body:

Dear Jim,

It was great seeing you last week. As I mentioned at the party, it's time for a review of your policy. You mentioned Friday is a good day to meet - how about lunch at 12:30? Let's try that new restaurant you've been hearing about.

Individual Client Detail

Notes

Letter Log

Best regards,
Chris Moneymaker

Added on 2/25/2002 By V2C. Last changed on 2/25/2002 By CM

A Letter Was Sent (CM)
Document Name Was Policy Approved
Signed By Chris Moneymaker
Summary: Company approval received

February 25, 2002

Mr. James Madison
James Madison, A Law Corporation
9567 Walnut Street, Suite 400
Port Conway, VA 14756

Re: Policy Number 164598 Effective On February 12, 2002

Dear Jim:

I've just received word from The ABC Insurance Company that your contract has been approved for issue just as we applied for it.

As soon as I receive it, I'll forward it to you at once.

In the meantime, if any questions come up, please don't hesitate to call.

Looking forward to our next visit.

Sincerely,

Chris Moneymaker
(Follow-up for 03/13/2002)

Added on 6/3/2002 By V2C. Last changed on 6/3/2002 By CM

Email was sent by CM
To: James Madison
Subject: Happy Birthday!
Email Body:
Dear James,

It looks like you'll be turning the wonderful age of 51 on June 16.

HAPPY BIRTHDAY!

Best regards,
Chris Moneymaker

Added on 2/10/2006 By V2C. Last changed on 2/10/2006 By CM

A Letter Was Sent (CM)
Document Name Was Client Mailing
Signed By SJD

SYS: 10006 By CM On 6/18/2008

Individual Client Detail

Notes

Letter Log

Summary:

Added on 12/1/2006 By CM. Last changed on 12/1/2006 By CM

Document: Birthday Letter.DOC

Signer: Chris Moneymaker

Summary/Comments:

Added on 2/18/2007 By CM. Last changed on 2/18/2007 By CM

Document: Birthday Email.doc

Signer: Chris Moneymaker

Summary/Comments:

Added on 2/18/2007 By CM. Last changed on 2/18/2007 By CM

Document: Birthday Email.doc

Signer: Chris Moneymaker

Summary/Comments:

Added on 2/22/2007 By CM. Last changed on 2/22/2007 By CM

Document: Birthday Letter.DOC

Signer: Chris Moneymaker

Summary/Comments: Mr. James Madison

James Madison, A Law Corporation

9567 Walnut Street, Suite 400

Port Conway, VA 14756

Dear Jim:

I wanted to wish you a happy birthday as you turn 56 on June 16th.

Happy Birthday!

Sincerely,

Chris Moneymaker

Added on 2/23/2007 By CM. Last changed on 2/23/2007 By CM

Document: IRA Prospecting

Signer: Chris Moneymaker

Summary/Comments:

Added on 2/23/2007 By CM. Last changed on 2/23/2007 By CM

Document: IRA PProspecting Email

Signer: Chris Moneymaker

Summary/Comments:

Added on 2/27/2007 By CM. Last changed on 2/27/2007 By CM

Document: IRA Prospecting Email

Signer: Chris Moneymaker

Summary/Comments:

Added on 4/7/2008 By CM. Last changed on 4/7/2008 By CM

Document: IRA Prospect Ltr

Signer: CM

Summary/Comments:

Added on 4/7/2008 By CM. Last changed on 4/7/2008 By CM

SYS: 10006 By CM On 6/18/2008

Individual Client Detail

Notes

Letter Log

Document: IRA Prospect Ltr
Signer: CM
Summary/Comments:

Investment Notes

Added on 4/14/2006 By V2C. Last changed on 4/14/2006 By CM

James had read an article in Forbes Mag about Pacific Rim Mutual Funds and thinks he should put 25% of his portfolio in one.

I suggested that he consider less than 20% and that he spread the risk among more than one fund. Told him that I'd put together some choices and histories of performance, but that most of the funds were somewhat new.

Wants to get together in March.
(Follow-up for 03/08/1997)

Added on 7/7/2000 By V2C. Last changed on 7/7/2000 By CM

James called. Says Western Pacific Fund is a DOG. Sell Monday.(Follow-up for 07/10/2000)

Added on 8/29/2001 By V2C. Last changed on 8/29/2001 By CM

James is considering opening another IRA. Sending American Fund prospectuses.(Follow-up for 09/20/2001)

Added on 10/15/2002 By V2C. Last changed on 4/13/2008 By CM

Jim received some inheritance money, and is going to put it into American Funds. Meeting next week to finalize.(Follow-up for 10/21/2002)

Added on 2/22/2007 By CM. Last changed on 4/13/2008 By CM

Call Jim in a couple weeks about adding to his IRA.

Health Claim - Surgery Notes

Added on 4/4/2002 By V2C. Last changed on 4/4/2002 By CM

04/04/2002 09:13AM (CM)

Insurance Notes

Added on 9/14/2005 By V2C. Last changed on 9/14/2005 By CM

James is thinking of LTC. Call next month to set up appt. to go over options.(Follow-up for 10/11/2005)

Added on 8/16/2006 By V2C. Last changed on 8/16/2006 By CM

Called Jim regarding his new car and getting the insurance updated. He'll fax over the lease.(Follow-up for 08/24/2006)

Added on 11/27/2006 By CM. Last changed on 11/27/2006 By CM

Call Jim re: LTC.

Archived Emails

Added on 8/24/2006 By SYS. Last changed on 8/24/2006 By SYS

Email was sent on 8/24/2006 11:26:13 AM (shannon@climark.com)
To: jamesmadison@climark.com

SYS: 10006 By CM On 6/18/2008

Individual Client Detail

Notes

Archived Emails

From: shannon@climark.com
Subject: ROTH IRA

Dear Jim,

Im writing to see if youre still interested in opening a ROTH IRA this year. The deadline is fast approaching to meet this years tax year.

Best regards,
Chris Moneymaker

Added on 8/24/2006 By SYS. Last changed on 8/24/2006 By SYS

Email was received on 8/24/2006 11:22:48 AM (shannon@climark.com)

To: Shannon Dempsey
From: jamesmadison@climark.com
Subject: RE: ROTH IRA

Hi Chris,

Yes, Dolley and I are interested in the ROTH IRA. Please call me Monday about it.

Jim

From: Shannon Dempsey [mailto:shannon@climark.com]
Sent: Thursday, August 24, 2006 11:22 AM
To: jamesmadison@climark.com
Subject: ROTH IRA

Dear Jim,

Im writing to see if youre still interested in opening a ROTH IRA this year. The deadline is fast approaching to meet this years tax year.

Best regards,
Chris Moneymaker